



**CITY OF LODI
COUNCIL COMMUNICATION**

AGENDA TITLE: Adopt Resolution Authorizing City Manager to File Claim for 2006/07 Transportation Development Act (TDA) Funds in the Amount of \$3,536,183 from Local Transportation Fund (LTF) and \$40,785 from State Transit Assistance (STA)

MEETING DATE: November 15, 2006

PREPARED BY: Public Works Director

RECOMMENDED ACTION: Adopt a resolution authorizing the City Manager to file the attached claim for the 2006/07 Transportation Development Act (TDA) funds in the amount of \$3,536,183 from the Local Transportation Fund (LTF) and \$40,785 from State Transit Assistance (STA).

BACKGROUND INFORMATION: Each year, the City of Lodi receives an apportionment of TDA funds to support Lodi's transit and pedestrian/bicycle programs. These are State transportation funds that are primarily for non-vehicular transportation but can be used on roads if transit needs are being

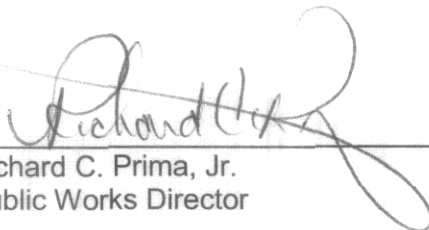
met. They are channeled through the Council of Governments, our regional transportation planning agency.

The total claim for fiscal year 2006/07, including pedestrian/bike funds, is \$3,536,183 from the LTF and \$40,785 from STA. A deduction of 3% will be made for San Joaquin Council of Governments (COG) planning. The LTF amount includes unspent prior year allocations, so the net new funds to the City total approximately \$2.4 million. A more detailed breakdown of the amounts is shown on pages 8 and 9 of the attached claim.

The City Council should be aware that our transit operations, Dial-A-Ride and GrapeLine, are fully funded from the Federal Transit Administration (FTA), State TDA, and other competitive sources of funds. Transit operations are not dependent on any General Fund support. We intend to continue to use TDA funds for transit, pedestrian, and bicycle-related projects and maintenance as much as possible.

FISCAL IMPACT: The continued receipt of TDA funding for FY 2006/07 will pay for on-going Transit operations and upcoming capital needs without any General Fund support.

FUNDING AVAILABLE: None required.


Richard C. Prima, Jr.
Public Works Director

Prepared by Tiffani M. Fink, Transportation Manager
RCP/TMF/pmf
Attachment
cc: Financial Service Manager

City Engineer/Deputy Public Works Director

APPROVED: 
Blair King, City Manager

FISCAL YEAR 2006-2007

SAN JOAQUIN COG

TRANSPORTATION DEVELOPMENT ACT

CLAIM FORMS AND GUIDELINES

FOR

STATE TRANSIT ASSISTANCE FUND (STA)

AND

LOCAL TRANSPORTATION FUND (LTF)

**LOCAL TRANSPORTATION FUND
NON-TRANSIT CLAIM FOR FISCAL YEAR 2006/07**

TO: San Joaquin Council of Governments
555 E Weber Avenue
Stockton, CA 95202

FROM: Applicant: City of Lodi
Address: 221 West Pine Street
City Lodi, CA Zip: 95240
Contact Person: Tiffani M. Fink Phone: (209) 333-6800 ext 2678
E-mail Address: tfink@lodi.gov Fax: (209) 333-6710

The City of Lodi hereby requests, in accordance with Chapter 1400 Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$3,536,183 for fiscal year 2006/07, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein is reasonable and accurate to the best of my knowledge and the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734

Submitted by: _____

Title: City Manager

Date: _____

San Joaquin Council of Governments

Date of approval: _____

BY: _____
Andrew T. Chesley
Executive Director

Date: _____

Applicant: _____

SJCOG Approving Authority

**STATE TRANSIT ASSISTANCE CLAIM
LOCAL TRANSPORTATION FUND
CLAIM FOR FISCAL YEAR 2006/07**

TO: San Joaquin Council of Governments
555 E Weber Avenue
Stockton, CA 95202

FROM: Applicant: City of Lodi
Address: 221 West Pine Street
City Lodi, CA Zip: 95240
Contact Person: Tiffany M. Fink Phone: (209) 333-6800 ext 2678
E-mail Address: tfink@lodi.gov Fax: (209) 333-6710

The City of Lodi qualified pursuant to Sections 99313.6, 99314.5 and 99314.6 of the Public Utilities Code, hereby requests, in accordance with Chapter 1400, Statutes of 1971 as amended and applicable rules and regulations, that an allocation be made in the amount of \$40,785 for fiscal year FY 06/07 to be drawn from the State Transit Assistance trust fund of San Joaquin County. Allocation instructions and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund claim and the financial information contained therein is reasonable and accurate to the best of my knowledge and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 634 and 6734.

Submitted by: _____

Title: City Manager

Date: _____

San Joaquin Council of Governments

Date of approval: _____

BY: _____
Andrew T. Chesley
Executive Director

Date: _____

Applicant: _____

SJCOG Approving Authority

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I	Local Transportation Fund Available Apportionment	
A.	Area Apportionment 2006-2007	\$ <u>2,301,878</u>
B.	Pedestrian/Bicycle Apportionment	\$ <u>50,350</u>
C.	Previous Years' Unclaimed Apportionment	\$ <u>141,527</u>
D.	Unexpended Carryover	\$ <u>1,110,672</u>
E.	3% for COG Transit Planning	\$ <u>73,283</u>
F.	Total Available for 2006-2007 Claim(s)	\$ <u>3,677,710</u>
G.	Less any LTF Already Claimed 2006-2007	\$ <u>0</u>
H.	TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 9, 1st column)	\$ <u>3,677,710</u>
I.	Actual net funds available (H-D-E=I)	\$ <u>2,493,755</u>
II	State Transit Assistance Fund Available Apportionment	
A.	Area Apportionment 2006-2007	\$ <u>0</u>
B.	Special Operator Apportionment 2006-2007	\$ <u>38,244</u>
C.	Previous Years' Unclaimed Apportionment	\$ <u>2,541</u>
D.	Unexpended Carryover	\$ <u>0</u>
E.	2% of A. Claimed on Behalf of COG for Trans Planning	\$ <u>0</u>
F.	Total Available for 2006-2007 Claims	\$ <u>40,785</u>
G.	Less any STA Already Claimed in 2006-2007	\$ <u>0</u>
H.	TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 9, 1st column)	\$ <u>40,785</u>
I.	Actual net funds available (H-D-E=I)	\$ <u>40,785</u>

CLAIM PURPOSES

	I. LTF	II STA
I. PUBLIC TRANSPORTATION		
Article 4 (99260) - Operator	\$ _____	_____
CCR Section 6730(a) Public Transit	\$ _____	40,785
Article 8 (99400(c)) Contractor Operating	\$ 1,736,989	_____
Article 8 (99400(e)) Contractor Capital	\$ 1,210,111	_____
Article 8 (99400(b)) Passenger Rail Service Operations and Capital	\$ _____	_____
TDA Administraion	\$ 73,283	_____
II PEDESTRIAN AND BICYCLE		
Article 3 (99234)	\$ 121,934	_____
III ROADS AND STREETS		
Article 8 (99400(a))	\$ 393,866	_____
IV OTHER		
Article 8 (99400(b,c,d,e))	\$ 0	_____
TOTAL THIS CLAIM	\$ 3,536,183	40,785
TOTAL AVAILABLE FOR THIS CLAIM (from pg. 8, (I.) H and (II.) H)	\$ 3,677,710	40,785
UNCLAIMED APPORTIONMENT (TOTAL AVAILABLE less TOTAL THIS CLAIM)	\$ 141,527	(0)

IMPORTANT: To avoid accidental overpayment, please **identify** and **itemize** in the space below any unexpended carryover included in the amounts being claimed above. Identify the amount of carryover and the purpose for which it is being reclaimed. Attach pages as necessary.

LTF in Transit Fund to be reclaimed for	Contract Operations	204,181.37
LTF in Streets and Roads Fund to be reclaimed for	Streets & Roads	243,865.76
LTF in Ped/Bike Fund reclaimed for	Peds/Bicycle	71,583.77
LTF in Transit Fund reclaimed for	Capital	591,041.01
TOTAL UNEXPENDED CARRYOVER		1,110,671.91

PART I - PUBLIC TRANSPORTION**Please Circle Either**

Article 4 Operator

Article 8 Contractor**FINANCIAL INFORMATION****Please Circle Either**

2005/2006

2006/2007

Operators claiming STA funds must meet the qualifying criteria (PUC Section 99314.6) p17 of this form

I. OPERATING REVENUES

		FY05/06 ESTIMATE ACTUAL	FY06/07 BUDGET
401	Passenger Fares	386,322	421,000
402	Special Transit Fares		
405	Charter Revenues		
406	Auxiliary Transportation Revenues (includes advertising)		
407	Non-Transportation Revenues	81,025	89,200
408	Tax Revenue (Specify) Property Tax Sales Tax (not TDA)		
409	Local Grants and Reimbursements Purchase of Service Local Transportation Fund (LTF revenue)	1,521,818	1,736,989
410	Local Special Fare Assistance		
411	State Cash Grants & Reimbursement CMAQ Other ____ STA	11,614	38,224
412	State Special Fare Assistance		
413	Federal Grants and Reimbursements FTA Grants 5307	992,000	697,194
430	Contributed Services (Not Cash)		
440	Subsidy from other Sector of Operations Interest Income		
	TOTAL	\$2,992,779	\$2,982,607

I. CAPITAL REVENUES

464	Capital Grants and Subsidies Specify Federal, State, Local: FTA Section 5307	161,352	502,806
	State Transit Assistance (STA)		
	Local Transportation Fund (LTF)	66,291	1,210,111
	Non-Governmental Donations		
	TOTAL	\$227,643	\$1,712,917

III. OPERATING EXPENSES

		FY05/06	FY06/07
		ESTIMATE	BUDGET
		ACTUAL	
501	Labor		
	Operations and Salaries/Wages		
	Other Salaries/Wages	74,428	77,864
502	Fringe Benefits	29,622	39,204
503	Services	466,480	502,284
504	Materials/Supplies	173,728	90,000
	Fuel/Lubricants		
	Tires/Tubes		
	Other	17,285	
505	Utilities	46,796	33,155
506	Casualty/Liability Costs	55,487	120,000
507	Taxes	21,743	
508	Purchased Transportation Service	1,586,766	1,800,000
509	Misc Expenses	110,322	320,100
510	Expense Transfer		
511	Interest Expense		
512	Leases and Rentals		
513	Depreciation/amortization		
	Operator Funds		
	Grant Funds		
TOTAL		\$2,582,657	\$2,982,607

IV. CAPITAL EXPENSES

Debt Service		
Land/Property Acquisition		
Vehicles		1,168,086
Construction	227,643	544,831
Other		
TOTAL	\$227,643	\$1,712,917

* Allowable capital expenses are limited for Article 8 Claimants; see 99400(e)

OPERATIONAL INFORMATION

	Actuals FY 2004/05	Actuals FY 2005/06	Proposed FY 2006/07
1. <u>Patronage</u>			
a. Total Passengers	<u>441,236</u>	<u>350,137</u>	<u>400,000</u>
b. Revenue Passengers	<u>325,160</u>	<u>267,377</u>	<u>290,000</u>
c. Youth Passengers	<u>n/a</u>	<u>n/a</u>	<u>n/a</u>
d. Elderly Passengers	<u>92,492</u>	<u>88,676</u>	<u>90,000</u>
e. Handicapped Passengers	<u>included above</u>	<u>included above</u>	<u>included above</u>
2. <u>Vehicle Miles</u>			
a. Total Vehicle Miles	<u>599,708</u>	<u>543,232</u>	<u>550,000</u>
b. Revenue Vehicle Miles	<u>588,092</u>	<u>509,466</u>	<u>515,000</u>
3. <u>Revenue Vehicle Hours</u>	<u>53,066</u>	<u>50,242</u>	<u>52,000</u>
4. <u>Revenue Vehicle Fuel Consumption</u>			
a. Compressed Natural Gas	<u>103,321</u>	<u>92,701</u>	<u>100,000</u>
b. Gasoline	<u>26,990</u>	<u>23,047</u>	<u>20,000</u>
5. <u>Fare Collection</u>			
a. Base	<u>\$.50/\$2.00</u>	<u>\$1.00/ \$5.00</u>	<u>\$1.00/\$5.00</u>
b. Zone	<u>n/a</u>	<u>n/a</u>	<u>n/a</u>
c. Youth	<u>n/a</u>	<u>n/a</u>	<u>n/a</u>
d. Senior	<u>\$.25/\$1.00</u>	<u>\$.50/\$1.50</u>	<u>\$.50/\$1.50</u>
e. Handicapped	<u>see above</u>	<u>see above</u>	<u>see above</u>
f. Monthly Pass		<u>\$35.00/\$17.50</u>	<u>\$35.00/\$17.50</u>
g. Other		DAR 10 ride ticket \$12	
h. Average Fare	<u>\$.66/\$1.55</u>	<u>\$.63/\$1.62</u>	<u>\$.68/\$1.62</u>

THREE YEAR FISCAL PLAN

	FY2006/07	FY2007/08	FY2008/09
<i>Operating Expenses</i>	\$2,982,607	\$3,100,000	\$3,130,000
<i>Operating Revenues</i>			
Sources			
LTF	1,736,989	1,800,000	1,800,000
STA	38,224	40,000	40,000
Federal (5307)	697,194	770,000	800,000
Fares	421,000	440,000	440,000
General Fund			
Other	89,200	50,000	50,000
Total	\$2,982,607	\$3,100,000	\$3,130,000
<i>Capital Expenses</i>	\$1,712,917	\$900,000	\$1,000,000
<i>Capital Revenue</i>			
Sources			
LTF	1,210,111	300,000	300,000
STA			
Federal	502,806	600,000	700,000
Other			
Total	\$1,712,917	\$900,000	\$1,000,000

(Transit Vehicle Owners Only)

Make & Model	Year	# of Vehicles	Fuel Type	Standard Seat Capacity	# Wheelchair Postitions	Ramp Y/N	Lift Y/N
CNG DAR Buses	2001	6	CNG	48	24	n	y
NABI low floor	2000	5	CNG	185	10	y	n
Amtrans Senator	1991	1	CNG	33	2	n	y
Ford Senator	1996	2	UNL	44	10	n	y
Ford Senator	1996	3	UNL	66	6	n	y
Ford E-350	1995	5	CNG	16	10	n	y
Ford E-350	1995	2	CNG	16	4	n	y
Dupont Trolley	2001	1	CNG	37	2	n	y
TOTAL		25					

VEHICLES TO BE PURCHASED IN FY 2006/07

Make & Model	Year	# of Vehicles	Fuel Type	Standard Seat Capacity	# Wheelchair Postitions	Ramp Y/N	Lift Y/N
Ford Cutaway	2005/06	8	CNG				
Ford Cutaway	2006/07	2	CNG				
30' DAR bus	2006/07	3	CNG				

ARTICLE 4 OPERATOR TDA REQUIREMENTS (Not Applicable to Lodi in FY 06/07)

1. Fare Ratio/Local Support Requirements

all Article 4 Claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMART only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268.2 - 99268.19 for details and exemptions pertaining to ratios.

- A. What is this system's required farebox recover ratio? _____
- B. Does this attached budget demonstrate that this system will meet its required farebox recovery and for SMART its farebox plus local support ratios? _____
- C. Has this system utilized its grace period? _____
- D. has this system been in non-compliance with its required ratio? _____

If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and local support ratios if:

- A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the end of the fiscal year in which the extension of services was put into operation
- B. The claimant submits a report on the extension of services to the COG within 90 days after the end of the fiscal year. (for details of the report, see 6633.8(b))

Is an extension of service/new services being claimed? _____

If so, has the required report been submitted for the most recently completed full fiscal year _____

If not, that report must accompany this claim

ARTICLE 4 OPERATOR TDA REQUIREMENTS (Not Applicable to Lodi in FY 06/07)

3. Operator's STA Qualifying Criteria (99314.6) Explanation

A transit operator must meet one of two efficiency standards before STA funds may be allocated for **operating** purposes:

- A. The operator's operating cost per revenue vehicle hour, in the latest year for which audited data are available, must not exceed the sum of the preceding year's operating cost per revenue vehicle hour and an amount equal to the change in the Consumer Price Index (CPI)* for the San Francisco Region, multiplied by the preceding year's operating cost per revenue vehicle hour. The formula below accomplishes this exercise:

(opcost/RVH)FY05 cannot exceed [(opcost/RVH)FY04] * [1.00239] OR

- B. The operator's average operating cost per revenue vehicle hour, in the latest three years for which audited data are available, must not exceed the sum of the average of the operating cost per revenue vehicle hour for the three years preceding the latest year for which audited data are available and an amount equal to the average change in the CPI for the same period. The formula below accomplishes this exercise:

AVG(opcost/RVH)FY03,04, 05 cannot exceed {AVG(opcost/RVH)FY02,03,04} * {1.0138}

As used here, Operating Costs are defined by PUC Section 99247:

All costs in the operating expense object classes exclusive of the costs in the depreciation and amortization expense object class, and exclusive of all direct costs for providing charter services, and exclusive of all vehicle lease costs.

STA allows for other exclusions, to be granted by the SJCOG, if deemed appropriate. These additional operating cost exclusions include:

1. Exclusion of cost increases beyond the change in the CPI for fuel, alternative fuel programs, insurance, or state and federal mandates.
2. Exclusion of start-up costs for new services for a period of not more than two years (refer to PUC Section 99268.8 for a definition of new service).

If you wish to claim these exclusions when calculating the operation cost per revenue vehicle hour, you must state the request and show calculations in support of the cost to be excluded.

* Percentage change across fiscal years using the California CPI

ARTICLE 4 OPERATOR TDA REQUIREMENTS (Not Applicable to Lodi in FY 06/07)

Pursuant to PUC Section 99314.6(c), funds withheld from allocation to an operator for failure to meet the STA efficiency criteria will be retained by SJCOG for reallocation to that operator for two years following the year of ineligibility. Any STA funds not allocated before the commencement of the third year following the year of the eligibility shall be reallocated to cost effective, high priority regional transit activities, as determined by SJCOG.

The following documents pertain to the new STA efficiency standards and are available at your request:

PUC Section 99314.6, also known as Chapter 35 Statutes of 1991 (SB 3-Kopp)

The Uniform System of Accounts for Public Transit Operators.

Consumer Price Index Data for California, January 1981 through December, 2006.

Transportation Development Act Audit Reports, FY1992 through FY2005

Please complete the attached worksheet to determine if you fully qualify for your STA apportionment. TDA Audit reports will address this efficiency criteria.

3. Operator's STA Qualifying Criteria (99314.6) - Worksheet (N/A to Lodi in Fy 06/07)

FISCAL YEAR (Audited Data)	2002/03	2003/04	2004/05	2005/06
A. Operating Cost	_____	_____	_____	_____
B. Operating Costs Exclusions:				
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
C. Adjusted Operating Cost (A-B)	_____	_____	_____	_____
D. Revenue Vehicle Hours (RVH)	_____	_____	_____	_____
E. RHV Exclusions: (add sheets if required)				
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
F. Adjusted RHV (D-E)	_____	_____	_____	_____
G. Operating Cost per RVH (C/F)	_____	_____	_____	_____
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	w	x	y	z

Efficiency Standard 1:

Z must be less than or equal to (Y) * (1.00239)

Show calculation

Efficiency Standard 2:

[(X + Y + Z) / 3] must be less than or equal to (W + X + Y) * (1.0138)

Show calculation

For SJCOG use only

Operator qualifies under:

Standard 1

Yes

No

Standard 2

Yes

No

4. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

5. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

6. Certification by the California Highway Patrol (6632)

Please attach a certification from the CHP verifying that the operator is in compliance with Section 1808.1 of the California Vehicle Code. This section concerns the "Driver Pull Notice participation"

Is a Certificate Attached? Yes _____ No _____

SPECIAL NOTES FOR RATIO CALCULATIONS

SMART Exclude certain costs and fares as specified in the most recent
Compliance Audit Report

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin Council of Governments (SJCOG) Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405(c). The SJCOG Board has established a twostep process

NOTE: Contributing claimants should proceed to page 23

1. Match Requirement

For any Article 8 transit claim, no more than 90% of the total operating funds (minus depreciation) in the budget may be TDA (LTF and STA) derived. The ten percent (10%) or more matching funds may come from any other source available to the claimant besides TDA.

2. Operating Cost Per Passenger Objective

To receive an amount of TDA operating funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. "Operating cost" is defined as in TDA statutes and regulations. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Board will adopt the system-wide operating cost per passenger objective for the fiscal year of the claim. Operating cost per passenger objectives must be established by November of each fiscal year.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the fiscal year of the claim, then the claimant is only eligible to file a claim for the level of TDA operating funding received in that prior fiscal year. In case of a unified transit system, each claimant would be limited to the prior year's level of TDA operating funding. If a system wishes to be eligible for increased TDA operating funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

a. What was the level of TDA operating funding received previous fiscal year for this system by this claimant (LTF and STA)? \$1,521,818

b. Does the attached budget information demonstrate at least a 10% match of non-TDA funds in FY 2005-2006? yes

Does the FY 2006-2007 budget demonstrate a 10% match of TDA funds? yes

c. Is this claim requesting more TDA operating funds than were received for this system by this claimant in the previous fiscal year? yes

d. If yes, did the system meet its operating cost per passenger objective in the previous fiscal year? yes
(An affirmative answer should be documented in Part "e")

Article 8 Contractor TDA Requirements

e. What was the last year's Operating Cost per Passenger Objective ? \$10.83

What was the actual operating cost per passenger?

I. FY 2005-2006 Operating Cost \$ 2,582,657

II. Total Passengers 350,137

III. Operating Cost per Passenger (I/II) \$ 7.38

f. What is the Operating Cost per Passenger Objective for this claim?

IV. Budgeted Operating Cost \$ 3,003,265

V. Estimated Total Passengers 400,000

VI. Projected Operating Costs per Passenger (IV/V) \$ 7.51

VII. FY 2006-2007 OPERATING COST PER PASSENGER OBJECTIVE \$ 10.83

THE PROJECTED 06/07 OPERATING COST PER PASSENGER (VI) MUST BE LESS THAN OR EQUAL TO THE FY 06/07 OPERATING COST PER PASSENGER OBJECTIVE (VII)

VIII. If this claim is for a unified transit system *, has the contributing claimant been appraised of the planned system-wide objective set in VII. Above? n/a

* If this claim is for a unified transit system (definition p23), all calculations and numbers for operating costs per passenger must include system totals

Article 8 Contractor TDA Requirements

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an additional page if necessary.

The 15% increases for the 2005/06 Fiscal Year occurred in the following areas: memberships, medical insurance, PERS, utilities, sublet service contracts and advertising. The increase in memberships is due to the City joining the California Transit Association this year. The increase in medical insurance was due to the change from employee +1 to employee +family. The rise in utilities was due to increases in water and sewer rates. PERS was due to normal increases in amounts paid to PERS per employee. The final increase was in advertising and was due to increased printing and newspaper costs due to service changes and the associated hearings/public notification requirements.

4. Narrative Description (6632)

Please describe below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area, major changes to the scope of operations, or additional of major new fixed facilities.

Article 8 Contractor TDA Requirements (Contributing Claimants)

In the case of a "unified transit system," this page is to be used by the "contributing claimant" rather than pages 20 through 22. A "unified transit system" is defined as one that has the same fare structure throughout the service area, but whose TDA expenses are claimed separately by two different TDA claimants. Additionally, to qualify as a unified transit system, all system TDA funding must be claimed under Article 8 (both claimants). "Contributing claimant" is defined as the claimant contributing a minority of the unified transit system's TDA funds. The claimant furnishing the majority of TDA funds is defined as the "primary claimant"

Currently, the following local transit services qualify as unified transit systems:

<u>FY 2006-2007 Unified Transit System</u>	<u>This Page used by:</u>
Tracy Transit	SMART
Tracy Taxi	SMART
Escalon Public Transit System	SMART
Manteca Dial a Ride	SMART
Lathrop (Currently inactive)	SMART

"Contributing claimants" need to answer the following questions:

1. Systemwide operating cost per passenger objective for FY2006-2007 identified in primary claimant's adopted transit claim (from that claim, page 21 (2) f. VII.) \$ _____
2. Date of primary claimant's adopted transit claim (or anticipated future date, if not yet adopted) \$ _____

IMPORTANT:

The operating cost per passenger objective identified above (page 21, (2) f. VII) will be applied uniformly to the total of City and SMART TDA funds used by the unified transit system, to determine eligibility for increased TDA funding as explained on page 20. Separate calculations will not be done for City and SMART

ANNUAL PROJECT AND FINANCIAL PLAN
PEDESTRIAN AND BICYCLE PROJECTS
 (Use additional forms as necessary)

PART II

Briefly describe all proposed projects and indicate proposed project expenditures for right of way acquisition and the construction of road and street projects.			
Project Title & Brief Description	Project Limits	Total Project Cost	LTF Funds Utilized FY06/07
Sidewalk Repairs	Various	\$127,000	\$52,000
Church Street and Sacramento Street	Lodi to Kettleman and Elm to Lockeford	\$ 487,000	\$ 10,000
Signal Modifications	Church Street and Lockeford Street	\$ 105,000	\$ 25,000
Class II Bicycle Lanes	Central Ave between Kettleman and Vine	\$ 22,000	\$ 22,000
Citywide School Signage	Various	\$ 48,000	\$ 5,200
Various Bike/ Ped Projects	Various	\$ 9,734	\$ 9,734
TOTAL		\$ 798,734	\$ 123,934

1. LTF carryover applied towards FY 2006/07 Pedestrian and Bicycle Projects

\$ 71,584

3. FY 2006/07 apportionment applied towards FY 2006/07 Non-motorized

\$ 50,350

4. Total of 1, 2 and 3 above (must match total LTF in Table 4 above)

\$ 121,934

CLAIMANT:

ANNUAL PROJECT AND FINANCIAL PLAN**ROADS AND STREETS PROJECTS**

(Use additional forms as necessary)

PART III

Briefly describe all proposed projects and indicate proposed project expenditures for right of way acquisition and the construction of road and street projects.			
Project Title & Brief Description	Project Limits	Total Project Cost	LTF Funds Utilized FY06/07
Sidewalk Repairs	Various	127,000	75,000
Church Street and Sacramento Street	Lodi to Lockeford and Elm to Lockeford	\$ 487,000	\$ 51,750
Lockeford/ Sacramento Traffic Signal	Lockeford Street at Sacramento Street	\$ 299,000	\$ 52,000
Signal Modifications	Crescent/Lodi, Lodi/Stockton, Church/Kettleman	\$ 105,000	\$ 80,000
Signal Cabinet/ Traffic Controller Replacement		\$ 75,000	\$ 75,000
Miscellaneous Traffic Improvements		\$ 5,000	\$ 5,000
Miscellaneous Road Improvements		\$ 55,116	\$ 55,116
TOTAL		\$ 1,153,116	\$ 393,866

1. LTF carryover applied towards FY 2006/07 Roads and Streets	\$ 243,866
3. FY 2006/07 apportionment applied towards FY 2006/07 Roads and Streets	\$ 150,000
4. Total of 1, 2 and 3 above (must match total LTF in Table 4 above)	\$ 393,866

PART IV - OTHER PURPOSES

It is possible that a claimant may wish to expend TDA funds for puposes allowed within the Act, but not covered by the three previous parts. TDA funds may be claimed under Article 8 consistent with Section 99400 of the TDA. To complete this section, on attached pages, identify:

- I. Project Title
- II Applicable subdivision of section 994400
- III Project Description
- IV Estimated total Costs
- V TDA Contribution to that total

A separate page of pages should be submitted for each specific project or purpose

It is strongly recommended that the claimant consult with SJCOG staff before completing this section

Other Article 8 (99400)

RESOLUTION NO. 2006-203

A RESOLUTION OF THE LODI CITY COUNCIL AUTHORIZING
THE CITY MANAGER TO FILE THE 2006-07 CLAIM FOR
TRANSPORTATION DEVELOPMENT ACT FUNDS FROM
LOCAL TRANSPORTATION FUND AND FROM STATE
TRANSIT ASSISTANCE ON BEHALF OF THE CITY OF LODI

=====

NOW, THEREFORE, BE IT RESOLVED that the Lodi City Council does hereby approve and authorize the City Manager to file claim for the City of Lodi's 2006-07 Transportation Development Act funds in the amount of \$3,536,183 from the Local Transportation Fund and \$40,785 from State Transit Assistance; and

BE IT FURTHER RESOLVED that the Lodi City Council does hereby authorize the City Manager to execute the claim on behalf of the City of Lodi.

Dated: November 15, 2006

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I hereby certify that Resolution No. 2006-203 was passed and adopted by the Lodi City Council in a regular meeting held November 15, 2006, by the following vote:

AYES: COUNCIL MEMBERS – Beckman, Hansen, Johnson, Mounce,
and Mayor Hitchcock

NOES: COUNCIL MEMBERS – None

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None



RANDI JOHL
City Clerk